



TENNESSEE TECH UNIVERSITY
Minority / Ethnicity Form

In order to comply with reporting regulations as required by the state of Tennessee and United States federal income tax laws, it is necessary that the following information be provided prior to the issuance of any University contract.

1. Contractor Legal Entity Name:
Federal ID # (if company):
2. Is Contractor a US citizen?
Yes
No (If no, state country of citizenship):
3. Kind of Ownership (Check all that apply)::
Government (GO)
Non-Profit (NO)
Majority (MJ)
Minority* (see reverse side for definition)
Woman (WO)** (see reverse side for definition)
Small (SM)*** (see reverse side for definition)
State of TN Agency
4. Minority / Ethnicity Code (Check one):
African American (MA)
Native American (MN)
Hispanic American (MH)
Asian American (MS)
Other Minority (MO) Specify:
5. Preference for reporting purposes: (Note: If Contractor qualifies in multiple categories as small, woman-owned and/or minority, Contractor is to specify in which category he/she is to be considered for reporting and classification purposes.)
Small Minority Woman-Owned
6. Certification: I certify that all of the information as completed above is accurate and true.
Signed: Date:
Name (Printed):
Title:

ATTESTATION RE PERSONNEL USED IN CONTRACT PERFORMANCE. The Contractor identified above does hereby attest, certify, warrant and assure that the Contractor shall not knowingly utilize the services of an illegal immigrant in the performance of this Contract and shall not knowingly utilize the services of any subcontractor who will utilize the services of an illegal immigrant in the performance of this Contract.
Signed*: Date:
Name (Printed):
Title:

* Note: This attestation MUST be signed by an individual empowered to contractually bind the Contractor. If said individual is not the chief executive or president, this document shall attach evidence showing the individual's authority to contractually bind the Contractor.

THE FOLLOWING DEFINITIONS ARE APPLICABLE FOR PURPOSES OF THE MINORITY / ETHNICITY FORM:

***Minority Ownership Definition:** A “minority-owned business” means a business that is a continuing, independent, for profit business which performs a commercially useful function and is at least fifty-one percent (51%) owned and controlled by one (1) or more minority individuals who are impeded from normal entry into the economic mainstream because of past practices of discrimination based on race or ethnic background.

“Minority” means a person who is a citizen or lawful permanent resident of the United States and who is:

- a) African American (a person having origins in any of the black racial groups of Africa);
- b) Hispanic (a person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race);
- c) Asian American (a person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands); or
- d) Native American (a person having origins in any of the original peoples of North America).

****Woman-Owned Business Definition:** A “woman-owned business” means a business that is a continuing, independent, for profit business which performs a commercially useful function and is at least fifty-one percent (51%) owned and controlled by one (1) or more women; or, in the case of any publicly owned business, at least fifty-one percent (51%) of the stock of which is owned and controlled by one (1) or more women and whose management and daily business operations are under the control of one (1) or more women.

*****Small Business Ownership Definition:** A “small business” means a business that is independently owned and operated for profit, is not dominant in its field of operation and is not an affiliate or subsidiary of a business dominant in its field of operation.

The Governor’s Office of Diversity Business Enterprise establishes small business guidelines on industry size standards. The criteria guidelines are required to be met in order for a business to be considered small. The annual receipts or number of employees indicates the maximum allowed for a small business concern and its affiliates to be considered small.

TYPE OF BUSINESS	ANNUAL GROSS SALES	NO. OF EMPLOYEES
Agriculture, Forestry, Fishing	\$500,000	9
Architectural / Design / Engineering	\$2,000,000	30
Construction	\$2,000,000	30
Educational	\$1,000,000	9
Finance, Insurance & Real Estate	\$1,000,000	9
Information Systems / Technology	\$2,000,000	30
Manufacturing	\$2,000,000	99
Marketing / Communications / Public Relations	\$2,000,000	30
Medical / Healthcare	\$2,000,000	30
Mining	\$1,000,000	49
Retail Trade	\$750,000	9
Service Industry	\$500,000	9
Transportation, Commerce & Utilities	\$1,000,000	9
Wholesale Trade	\$1,000,000	19